



BASIC CERTIFICATE COURSE IN
**STRATEGIC
COMPLIANCE**
FOR THE
BANKING INDUSTRY

JULY 30, 2022 to
SEPTEMBER 17, 2022
EVERY SATURDAY FROM 8:00AM TO NOON

*Schedule may be changed as appropriate.

REGISTER HERE

COURSE FEE:

Regular Fee: ₱33,500/participant

Discounted Fees

- Basic only - ₱28,500/pax (If two or more individuals from one company enrolling at one time)
- Bundle Promo - Basic and Advanced: ₱59,000/pax
- Bundle Promo - Basic and Advanced: ₱56,000/pax (If two or more individuals from one company enrolling at one time)

**Non-refundable reservation fee of P5,000 is to be made on or before July 9, 2022.

FOR MORE INFORMATION, PLEASE CONTACT:

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This Certificate Course provides an overview of the compliance function in banks, introduces key compliance principles and frameworks, and dwells on the specific laws, rules, and standards that affect the banking industry in the Philippines.

MODULE 1: FOUNDATIONS OF THE COMPLIANCE FUNCTION

This module gives participants a comprehensive picture of the compliance function in banks, with specific sessions devoted to the state of the banking industry, the historical evolution of banking compliance, and the appropriate roles of a bank compliance officer.

MODULE 2: COMPLIANCE IN THE BANKING INDUSTRY

This module focuses on identifying and resolving compliance issues unique to banks. Rules on statutory limits, sources and uses of funds, as well as aspects of corporate governance are covered in this module.

MODULE 3: THE EFFECTIVE COMPLIANCE OFFICER

This module identifies the technical, communication, negotiation, and leadership skills needed to be effective as a bank compliance officer. Best practices in detecting, investigating, and handling anomalies, in addition to reporting and relating to regulators and senior management, comprise this module's topics.

LIST OF TOPICS

1. Introduction: Drivers of Change in the Banking Industry; Regulator's Insight: BSP; Compliance Principles; Compliance Frameworks
2. Statutory Limits
3. Manual of Regulations - Trust; Treasury; Lending
4. Presentation Skills
5. What kind of compliance officer are you?
6. The Compliance Function
7. Financial Reporting Package
8. Technical Skills
9. Compliance Systems
10. Compliance Issues: PDIC
11. Compliance Issues: SEC
12. Updated AML & Terrorist Financing Rules
13. Compliance Issues/Regulators Insights: AML-TF