ADVANCED CERTIFICATE COURSE IN

STRATEGIC COMPLIANCE

FOR THE

BANKING INDUSTRY

This advanced certificate course provides a training in compliance function in financial industry with focus on corporate governance, anti-money laundering, counter terrorist, proliferation financing and information technology. This course is divided into three general modules.

MODULE 1: GOVERNANCE, RISK AND COMPLIANCE (GRC)

A.GRC Framework/Enterprise-wide GRC - Service Level Agreement (SLA) between Risk Management, Compliance Function and Audit
B. Supervisory Assessment Framework (SAFr) in relation Risk Management (Credit, Market, Ops, etc.)
C. Compliance Risk Assessment/Monitoring/Testing
D. Recent Significant Regulatory Issuances (not covered in M1)

MODULE 2: INFORMATION TECHNOLOGY

A. BSP Circular Nos. 808, 1019, etc.
B. Information/Cyber Security
C. Business Continuity Planning/Disaster Recovery
D.IT Vendor Outsourcing
E. Operator of Payment System/Financial Technology/Electronic/Digital Banking
F. IT Compliance Monitoring/Testing

MODULE 3: ANTI-MONEY LAUNDERING/COUNTER TERRORIST/PROLIFERATION FINANCING

A. Suspicious Transaction Typologies/Investigation/Reporting (Red Flags, etc.)
B.Typologies (real estate, tax evasion, e-sabong, crypto-currency, etc.)
C. AML/CFT/CPF Risk Assessment
D. AML/CFT/CPF Compliance Monitoring/Testing
E. Recent issuances (not covered in M1), digital onboarding, etc.

MODULE 4: COMPLIANCE SKILLS

A. Reporting to Board and Senior Management
B. Communication and Cooperation with Bank Units, building partnership
C. Collaboration with Regulatory Agencies
D. Harmonizing Actions

JULY 30, 2022 to SEPTEMBER 17, 2022
EVERY SATURDAY FROM 1:00PM TO 5:00PM
*Schedule may be changed as appropriate.

REGISTER HERE

WHO SHOULD ATTEND
This advanced certificate course is highly recommended to compliance practitioners in the financial industry who would like to learn more about corporate governance, anti-money laundering/combating the financing of terrorism/proliferation financing and digital compliance analytics that is empowered by ICT .

COURSE FEE:
Regular Fee: ₱33,500/participant
Discounted Fees
- Advanced only - ₱28,500/pax (If two or more individuals from one company enrolling at one time)
- Bundle Promo - Basic and Advanced: ₱59, 000/pax
- Bundle Promo - Basic and Advanced: ₱56, 000/pax (If two or more individuals from one company enrolling at one time)

**Non-refundable reservation fee of P5,000 is to be made on or before July 9, 2022.

FOR MORE INFORMATION, PLEASE CONTACT:
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