



Research Ethics Review Committee

Research Ethics Office, 3F Henry Sy Sr. Hall
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SOP No.: 2
Form No.: 2(L)
Version No.: 1
Version Date: March 2017

DE LA SALLE UNIVERSITY

Checklist H¹

Research Ethics Checklist for Investigators conducting Community Research

*This checklist must be completed **AFTER the De La Salle University Code of Research Ethics and Guide to Responsible Conduct of Research has been read and BEFORE gathering data.** The University Code of Research Ethics is available at http://www.dlsu.edu.ph/offices/urco/forms/URCO-Code-of-Research-Ethics_August2011.pdf*

NOTE: This checklist is completed after the research proponent fills out the General Checklist Form and Checklist A.

Only answer this Checklist if you are conducting community research (research that is community situated, collaborative with community members, and action-oriented. This also includes communities which necessitate permission to acquire access to them).

	Yes	No	N/A
1. Will the proposed research project involve human participants in the communities? If YES...Include in the methodology section of your research proposal how you will be obtaining free consent from the community members (written informed consent, verbal informed consent, plain language statements, and translated consent forms). Attach the consent form here.			
2. Will you be conducting research in an indigenous community that has or is found inside an ancestral domain? If YES...Provide a Certification Precondition issued by the National Commission on Indigenous Peoples (NCIP) allowing collection of data with the members of the indigenous community.			

¹ This checklist serves as a guide to make the researcher aware of the ethical issues that may have to be addressed in planning a study.




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<p>3. Will your research involve the participation of marginalized and vulnerable sectors of the community? Vulnerable sectors are “persons whose situation or characteristics may make them unable to provide free and informed consent to participate in the research. This group includes children, institutionalized, persons, those who have cognitive impairments, those in a position of inferiority, etc.” (National Ethical Guidelines for Health Research, 2011)</p> <p>If YES...Indicate in your proposal how appropriate and just compensation (proportionate to the contribution in the research, research budget, and local conditions) will be provided to these vulnerable and marginalized participants. Describe the informed consent process to be undertaken with these participants. This includes, but is not limited to, written informed consent, verbal informed consent, plain language statements, and translated consent forms.</p>			
<p>4. Will you have minors as participants in your study? Minors are individuals under the age of 18.</p> <p>If YES...Obtain parental/guardian consent and participant assent to participate in your study. Attach the parental consent and assent forms to your proposal. The consent forms should indicate the measures you will undertake to ensure confidentiality and protect the participants.</p>			
<p>5. Have the research activities been explained to and approved by the community in which the research will be undertaken?</p> <p>If NO... Engage in a consultation process with the community on the proposed research. Document in your proposal how approval for the research was obtained from the political and/or spiritual leaders of the community.</p> <p>Attach a proposed community research agreement form for approval by the community. The agreement form should contain the responsibilities of community partners and researchers in the project, and an agreement on ownership and publication of findings. The signatories of this agreement are the researcher(s) and community designated representative(s).</p>			

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6. Will the community members be contributing their time and resources to the research? If YES... Indicate in your proposal the benefits to the community in return for their contributions to the research. Detail the appropriate and just compensation that will be provided to all members of the research team.			
7. Will your presence as a researcher and the research team pose major disruptions to the community's daily activities? If YES...Indicate in your research proposal and the community research agreement form detailed measures you will undertake to minimize the disruption in the community.			
8. Is your research connected to the community's broader socio-political agenda? Will the research findings potentially stigmatize or discriminate members of the community? If YES... Indicate in your proposal how these issues will be dealt with in your research. Detail the agreement reached with the community regarding the dissemination or publication of the research findings.			
9. Is a commercial product an end goal of your research? If yes, do you want to apply for a patent for this product? Please check: <input type="checkbox"/> Yes <input type="checkbox"/> No			

<i>I certify that I have read and understood the De La Salle University Code for the Responsible Conduct of Research and will abide by the ethical principles in this document.</i>	
_____ Name and Signature of Principal Investigator	_____ Date

FOR GRADUATE and UNDERGRADUATE DLSU STUDENTS ONLY



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I confirm that the student(s) is/are capable of undertaking this research in a safe and ethical manner.

Adviser's Name

Signature

Date